



Disclosure Document

Floatpays (PTY) LTD
(Registration No 2019/444303/07)
(“Floatpays”)

1. This serves to provide you, the client, with the information on the financial service provider as required in terms of the Financial Advisory and Intermediary Services Act (Act 37 of 2002), and you must be provided with a written copy of this document within 30 days.
2. Floatpays (Pty)Ltd is an authorised Financial Services Provider with licence number FSP 52120. A copy of the licence is available and displayed at the business addresses stipulated below. Apart from the general exemptions published, no exemptions, conditions or restrictions are applicable to the licence.
3. The FSP has contracts with the following Product Providers: Standard Bank
4. The FSP and the Representative is authorised to provide financial advice and intermediary services under a Category 1 License and under the following Categories :
 - Long-Term Insurance subcategory A
 - Short-Term Insurance Personal Lines
 - Long-Term Insurance subcategory B1
 - Long-term insurance subcategory B2
 - Long-term Insurance subcategory B2-A
 - Long-term Insurance subcategory B1-A
 - Short-term Insurance Personal Lines A1
 - Long-Term Insurance subcategory C
 - Retail Pension Benefits
 - Participatory interests in a collective investment scheme
 - Long-term Deposits
 - Short-term Deposits
5. Floatpays Key Individual is:

Brian Marsh

Contact details : 082 446 3152

This Key Individual is employed by Floatpays (who accepts responsibility for his activities performed within his mandate). This mandate is available on request.

6. The FSP earns remuneration based on the commission paid by the product provider concerned. Commission is calculated according to the commission scale applicable to financial advisers, and is specified in the documentation provided. Additional remuneration (broker's fee) will be applicable on services rendered as agreed upon upfront in writing between yourself and the representative. Commission remuneration and fees earned are specified in documentation provided to you.
7. We are not an associated company of any particular insurer and we do not own more than 10%, either directly or indirectly, in any product supplier whose products are provided to you. The FSP received more than 30% of total annual remuneration in the previous year from the following product suppliers: None
8. At Floatpays we strive to render services and provide advice to our clients that are in their best interest, and to avoid any possible conflict of interest that may arise. To this end we have adopted a conflict of interest management policy as required in terms of the current legislation. A copy of this policy is available for your perusal at our offices.
9. We have a Complaints Resolution Policy in place. In the event that you are dissatisfied with any aspect of Floatpays service please submit a complaint in writing to Brian Marsh at our offices. A copy of our Resolution Policy is available on request. If the complaint is not resolved to your satisfaction you may refer the complaint to the FAIS Ombud whose details are available below.
10. We do hold Professional Indemnity Insurance.
11. Compliance with the FAIS Act is monitored by Masthead (Pty) Ltd, a compliance practice approved by the Financial Services Board.
12. We acknowledge that in the course of rendering services to the client in terms of this authority, they shall come into possession of information of a confidential nature. We shall not, whether during the course of this agreement or at any time thereafter, use or disclose or allow third parties to use or disclose any of

the confidential information except to the extent permitted by the client in writing or as required by law.